

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |   |   |
|---|---|---|
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b> |   | ATTORNEY'S DOCKET NUMBER<br>22135-00026-US                          |
|   |   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/521146</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/EP03/007586  | INTERNATIONAL FILING DATE<br>14 July 2003 | PRIORITY DATE CLAIMED<br>15 July 2002                               |
| TITLE OF INVENTION ANTIMICORBIAL POLYMER-BASED FOODSTUFF BASING PREMOISTENED SO AS TO BE READY-TO-FILL                                  |   |   |
| APPLICANT(S) FOR DO/EO/US Klaus-Dieter Hammer et al.  |   |   |

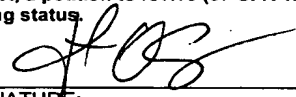

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☐ The US has been elected (Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - ☒ is attached hereto (required only if not communicated by the International Bureau).
  - ☐ has been communicated by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - ☒ is attached hereto.
  - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - ☐ are attached hereto (required only if not communicated by the International Bureau).
  - ☐ have been communicated by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

- ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ A preliminary amendment.
- ☒ An Application Data Sheet under 37 CFR 1.76.
- ☐ A substitute specification.
- ☒ A power of attorney and/or change of address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
- ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information: Return Receipt Postcard

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |                     |   |             |  |                        |  |
|---|---------------------|---|-------------|--|------------------------|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>10/521146</b>   |                     | INTERNATIONAL APPLICATION NO.<br>PCT/EP03/007586                              |             | ATTORNEY'S DOCKET NUMBER<br>22135-00026-US   |                        |  |
| 21. The following fees are submitted:<br><input checked="" type="checkbox"/> a) Basic national fee .....\$300.00<br><input checked="" type="checkbox"/> b) Examination fee .....\$200.00<br><input checked="" type="checkbox"/> c) Search fee .....\$500.00<br><br><b>TOTAL OF ABOVE CALCULATIONS = \$1000.00</b>   |                     |   |             | <b>Applicant Use</b>   | <b>Office Use Only</b> |  |
|   |                     |   |             | \$ 300.00  |                        |  |
|   |                     |   |             | \$ 200.00  |                        |  |
|   |                     |   |             | \$ 500.00  |                        |  |
|   |                     |   |             | \$ 1,000.00  |                        |  |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |                     |   |             |  |                        |  |
| Total Sheets  | Extra sheets        | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE        |  |                        |  |
| - 100 =   | /50 =               |   | x \$250.00  |  |                        |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).   |                     |   |             | \$   |                        |  |
| <b>CLAIMS</b>   | <b>NUMBER FILED</b> | <b>NUMBER EXTRA</b>   | <b>RATE</b> |  |                        |  |
| Total claims  | 20 - 20 =           |   | x           | \$ 0.00  |                        |  |
| Independent claims  | 1 - 3 =             |   | x           | \$ 0.00  |                        |  |
| MULTIPLE DEPENDENT CLAIM(s) (if applicable)   |                     |   |             | +  | \$                     |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |                     |   |             | \$ 1,000.00  |                        |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |                     |   |             | \$   |                        |  |
| <b>SUBTOTAL =</b>   |                     |   |             | \$ 1,000.00  |                        |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).  |                     |   |             | \$   |                        |  |
| <b>TOTAL NATIONAL FEE =</b>   |                     |   |             | \$ 1,000.00  |                        |  |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |                     |   |             | \$ 40.00   |                        |  |
| <b>TOTAL FEES ENCLOSED =</b>  |                     |   |             | \$ 1,040.00  |                        |  |
| Amount to be refunded:  |                     |   |             |  | \$                     |  |
| Amount to be charged:   |                     |   |             |  | \$                     |  |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.<br>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>22-0185</u> in the amount of \$ <u>1,040.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>22-0185</u> . A duplicate copy of this sheet is enclosed.<br>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038. |                     |   |             |  |                        |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.   |                     |   |             |  |                        |  |
| SEND ALL CORRESPONDENCE TO:   |                     |   |             |  |                        |  |
|   |                     |   |             | <br>SIGNATURE: |                        |  |
|   |                     |   |             | <br>NAME        |                        |  |
| CUSTOMER NUMBER: 30678  |                     |   |             | 39,294<br>REGISTRATION NUMBER  |                        |  |

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

|   |  |                                    |                       |
|---|--|------------------------------------|-----------------------|
| <p><i>Effective on 12/08/2004.</i><br/><i>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</i></p> <h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">For FY 2005</h3> |  | <p><b>Complete if Known</b></p>    |                       |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27  |  | Application Number                 | Not Yet Assigned      |
|   |  | Filing Date                        | Concurrently Herewith |
|   |  | First Named Inventor               | Klaus-Dieter Hammer   |
|   |  | Examiner Name                      | Not Yet Assigned      |
|   |  | Art Unit                           | N/A                   |
| <b>TOTAL AMOUNT OF PAYMENT</b> (\$) 1,040.00  |  | Attorney Docket No. 22135-00026-US |                       |

**METHOD OF PAYMENT** (check all that apply)

☐ Check   
 ☐ Credit Card   
 ☐ Money Order   
 ☐ None   
 ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account   
 Deposit Account Number: 22-0185   
 Deposit Account Name: Connolly Bove Lodge & Hutz LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below   
 ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17   
 ☒ Credit any overpayments

**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

| Fee Description                                    | Fee (\$) | Small Entity Fee (\$) |
|--|----------|-----------------------|
| Each claim over 20 (including Reissues)            | 50       | 25                    |
| Each independent claim over 3 (including Reissues) | 200      | 100                   |
| Multiple dependent claims                          | 360      | 180                   |

Total Claims    Extra Claims    Fee (\$)    Fee Paid (\$)    Multiple Dependent Claims  
 20    - 20 =    x    =    Fee (\$)    Fee Paid (\$)

Indep. Claims    Extra Claims    Fee (\$)    Fee Paid (\$)  
 1    - 3 =    x    =

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|--------------|--------------|--|----------|---------------|
| _____        | _____        | _____  | _____    | _____         |

**4. OTHER FEE(S)**

|   | Fees Paid (\$) |
|---|----------------|
| Non-English Specification, \$130 fee (no small entity discount) |                |
| Other (e.g., late filing surcharge):                            |                |
| 1631 Basic National Stage fee                                   | 300.00         |
| 1632 National Stage search fee                                  | 500.00         |
| 1633 National Stage examination fee                             | 200.00         |
| 8021 Recording each patent assignment, agreement or ...         | 40.00          |

|                     |                     |                                   |                  |
|---------------------|---------------------|-----------------------------------|------------------|
| <b>SUBMITTED BY</b> |                     |                                   |                  |
| Signature           |                     | Registration No. (Attorney/Agent) | 39,294           |
| Name (Print/Type)   | Susan E. Shaw McBee | Telephone                         | (202) 331-7111   |
|                     |                     | Date                              | January 13, 2005 |